

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet

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of

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<i>Complete if Known</i>	
<b>Application No.</b>	10/666,209
<b>Filing Date</b>	September 17, 2003
<b>First Named Inventor</b>	Peter B. Evans
<b>Art Unit</b>	2128
<b>Examiner Name</b>	Suzanne Lo
<b>Attorney Docket Number</b>	23990-08225

<b>U.S. PATENT DOCUMENTS</b>				
Examiner Initials*	Cite No. <sup>1</sup>	Document No.		
		Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A1	US-7,096,175	08-22-2006	Rehtanz et al.
	A2	US-6,885,915	04-26-2005	Rehtanz et al.
	A3	US-6,549,880	04-15-2003	Willoughby et al.

<b>FOREIGN PATENT DOCUMENTS</b>				
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		
		Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
				T <sup>6</sup>

<b>OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS</b>				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		T <sup>6</sup>
	C1	LASSETER, R. et al. "Scenarios for Distributed Technology Applications with Steady State and Dynamic Models of Loads and Micro-Sources," Consortium for Electric Reliability Technology Solutions, April 14, 2000, 90 pages.		
	C2	MARNAY C. et al., "Modeling of Customer Adoption of Distributed Energy Resources," Consortium for Electric Reliability Technology Solutions, August 2001, 116 pages.		
	C3	Optimal Technologies, "Operations Review of June 14, 2000 PG&E Bay Area System Events Using Aempfast® Software," October 3, 2001, 32 pages.		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.